

PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	08/999,245
Filing Date	12/10/1997
First Named Inventor	Jeff S. Eder
Art Unit	3628
Examiner Name	Hyung Sough
Attorney Docket Number	AR - 03

Sheet of **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
PP		US- 6,073,115 - B1	6-06-2000	Marshall, Paul	
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ³
		Country Code ⁴ Number ⁵ Kind Code ⁶ (if known)				

Examiner Signature	<i>F Poin</i>	Date Considered	10/10/06
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PTO/50/080 (05-03)

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FD		Barua, Anillesh; Lee, C.H. Sophie; Whinston, Andrew, "The Calculus of Reengineering", August 1994	
FDP		Haesendonckx, Michel, "VBM - Value Driver Tree", June 8, 2005	

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FS		Chambers, Robert; Quiggins, John; "Resource Allocation and Asset Pricing", November 2002	

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	Art Unit	3628
	Examiner Name	Hyung Souh
	Attorney Docket Number	VM - 3

Sheet 1 of 9

NON PATENT LITERATURE DOCUMENTS			
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FP	1	ZIPP, ALAN S.; Business valuation methods; 1983, AICPA	
	2	DAVIDOW, WILLIAM; Accounting systems are completely wrong, January 1995, Red Herring	
	3	MCTAGGERT, JAMES, KONTES, PETER AND MANKINS, MICHAEL, The value imperative, 1994, The Free Press	
	4	RAPPAPORT, ALFRED; Creating shareholder value; 1986, The Free Press	
	5	RITCHKEN, PETER. Options, Theory, Strategy and Applications, 1987, Foresman and Company	
	6	DIXIT, AVINASH & PINDYCK, ROBERT; Investment under uncertainty; 1994; Princeton University Press	
	7	GARSON, DAVID; Interpreting neural network connection weights, 4/1/1991, AI Expert	
	8	WELLSTEAD, STEPHEN; Neural network and fuzzy logic applications in C/C++; 1994; John Wiley & Sons	
	9	MOST, KENNETH; Accounting theory; 1977, Grid, Inc.	
FP	10	HENDRIKSEN, ELDEN, Accounting theory, 1982, Richard D. Irwin	

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		Art Unit	3628
		Examiner Name	Hyung Sough
Sheet 2	of 9	Attorney Docket Number	VM - 3

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FP	11	KULKARNI, ARUN; Artificial neural networks for image understanding; 1/1/1994; Van Norstrand Reinhold	
	12	WARD SYSTEMS GROUP; NeuroWindows User Manual; 1993; Wards Systems Group	
	13	BREALEY, RICHARD & MYERS, STEWART; Principles of Corporate Finance; 1991; McGraw Hill	
	14	FAULKNER, TERRENCE; Applying options thinking to R&D valuation; 5/1/1996; Research Technology Manage	
	15	MILLER, MERTON & MODIGLIANI, FRANCO; Dividend policy, growth and the valuation of shares, 10/1/1961,	
	16	SIMENSKY, MELVIN & BRYER, LANNING; The new role of intellectual property in commercial transactions,	
	17	WILSON, ALBERT; Emerging approaches to impaired property valuation, 4/1/1996, Appraisal Journal, v64	
	18	BROWN, GORDON T. Free cash flow appraisal, a better way, 4/1/1996, Appraisal Journal, V64, number 2,	
	19	BUSINESS EDITORS & REAL ESTATE INDUSTRY WRITERS, EQK Realty Investors I, 4/2/1992, Press Release	
FP	20	SWAD, RANDY, Business valuation, applicable standards for CPA's, 9/1/1995, CPA Journal v65, number 9	

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Sheet	3	of	9

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FP	21	REILLY, ROBERT; Valuation of intangibles for bankruptcy and reorganization purposes; 8/1/1994; Ohio	
	22	LIEBICH, KIM; How to value a bank; 8/1/1995; ABA Banking Journal	
	23	BAUMANN, BARBARA H & OXAAL, MARJORIE R; Estimating the value of a group medical practice, a primer;	
	24	DOWD, KEVIN, Beyond Value at Risk, 1998, John Wiley & Sons	
	25	FRIEDMAN, RICHARD; Business valuation: calculating it right; 10/1/1994; Practical Accountant	
	26	MULLEN, MAGGIE; How to value intangibles; 11/1/1993; Accountancy	
	27	STEWART, THOMAS; Trying to grasp the intangible; 10/2/1995 Fortune	
	28	OUROSOFF, ALEXANDRA; What the world's top brands are worth; 9/1/1992; Finance World	
	29	PHILLIPS BUSINESS INFORMATION, INC.; Five ways to reduce risk with neural networks; 9/27/1993; Credi	
FP	30	LIPPITT, JEFFREY & MASTRACCHIO, NICHOLAS, Developing capitalization rates for valuing a business, 11	

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FP	31	HIRSCH, A. ETHAN, What's It worth?, 12/21/1992, Accounting Today, v6, number 24, p 16	
	32	MYERS, STEWART & HOWE, CHRISTOPHER; A life-cycle financial model of Pharmaceutical R&D; 4/1/1997; MIT	
	33	SIMON, CAROL J. & SULLIVAN, MARY W.; The Measurement and Determinants of Brand Equity; 10/1/1993; Ma	
	34	Sveiby, Karl Erik & Mellander, Klas; Tango Learning Guide Version 2.1; 1994; Celermi	
	35	KAUFMAN, J. JERRY; Value Management; 1998; Crisp	
	36	HBS PRESS; Measuring Corporate Performance; 1998; HBS Press	
	37	KAPLAN, ROBERT & NORTON, DAVID; The Balanced Scorecard; 1996; HBS Press	
	38	MORRIS, HENRY; Extending the Business Process, 10/6/98, IDC Presentation	
	39	AMIR, ELI; & LEV, BARUCH, "Value-relevance of non-financial information", Journal of Accounting and	
FP	40	ERNST & YOUNG, "Measures that Matter", 1997	

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FP	41	BOUQUET, PAOLO, SEARAFINI, LUCIANO, et al: Modeling and Using Context - Context 99, 09/99, Springer	
	42	QUINN, JAMES BRIAN, Intelligent Enterprise, Free Press, 1992	
	43	KUEHNE, SVEN, et al, "SEQL: Category learning as progressive abstraction using structure mapping", 2	
	44	ALEXANDER, CAROL, Risk Management and Analysis, John Wiley & Sons, 1998	
	45	SHIMPI, PRAKASH, Integrating Corporate Risk Management, 10/99, Swiss Re New Markets	
	46	BREWKA, GERHARD, Principles of Knowledge Representation, 1996, CSLI Publications	
	47	FOWLER, MARTIN; Analysis Patterns: Reusable Object Models, 1997, Addison Wesley	
	48	FARQUHAR, PETER & HAN, JULIE, "Recognizing and measuring your brand assets" 91-119, Mkt. Sci. Inst.	
	49	SIMON, CAROL & SULLIVAN, MARY, "A Financial Approach to estimating firm level brand equity", 92-116	
FP	50	HULL, JOHN C., Options, futures and other derivatives, 1997, Prentice Hall	

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FP	51	MAXSON, MARK; Will you get your money's worth?, 5/1/1993, Financial Executive	
FP	52	HAYES, ROBERT & ABERNATHY, WILLIAM, "Managing our way to economic decline", Harvard Business Review,	
FP	53	MODIGLIANA, FRANCO & MILLER, MERTON, "Dividend Policy, Growth and the Valuation of Shares", Journal	

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U. S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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PP		Country Code ² Number ³ Kind Code ⁴ (if known)				
		EP 0 587 290 A2	03-16-1994	Powers et al		
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Examiner Signature	<i>F Poinvil</i>	Date Considered	10/10/06
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ² Applicant's unique citation designation number (optional). ³ See Kinda Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	08/999,245
Filing Date	December 6, 1997
First Named Inventor	Jeff S. Eder
Art Unit	3628
Examiner Name	Hyung Sough
Attorney Docket Number	VM-03

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of 19

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number-Kind Code ² if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
FD		US- 6,078,901 - B1	6-20-2000	Ching, Hugh	
		US- 5,802,501 - B1	9-1-1998	Graff, Richard A.	
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		US- 5,742,775 - B1	04-21-1998	Douglas L. King	
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Examiner Initials*	Cite No.	Foreign Patent Document Country Code ¹ Number ² Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	1*

Examiner Signature	<i>F Poinvil</i>	Date Considered	10/10/06
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 9

of 19

Complete if Known

Application Number	08/999,245
Filing Date	December 6, 1997
First Named Inventor	Jeff S. Eder
Art Unit	3628
Examiner Name	Hyung Sough
Attorney Docket Number	VM-03

Examiner Initials*	Cite No. ¹	U. S. PATENT DOCUMENTS			
		Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		US- 5,802,501 - B1	09-01-1998	Graff, Richard	
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Examiner Initials*	Cite No.	FOREIGN PATENT DOCUMENTS			
		Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete If Known

Application Number	08/999,245
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Filing Date	12/10/1997
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First Named Inventor	Jeff S. Eder
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Art Unit	3628
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Examiner Name	Hyung Sough
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Attorney Docket Number	AR - 03
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NON PATENT LITERATURE DOCUMENTS

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Signature**

FPoint

Date _____

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10/12/06

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	08/999,245; Conf. No. 3613
				Filing Date	December 10, 1997
				First Named Inventor	Jeff EDER
				Art Unit	3628
				Examiner Name	F. Poinvil
Sheet	1	of	1	Attorney Docket Number	5373-0105PUS1

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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	AB	US-5,471,611	11-28-1995	McGregor	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
FP	BA	WO98/38588	09-03-1998	Mayon-White et al.	

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FP	CB	CHICAGO BOARD OF TRADE et al.; Span Margin System, August 27, 1991	
FP	CC	APPRAISAL STANDARDS BOARD; Uniform Standards of Professional Appraisal Practice, 1997 Edition, Effective: January 1, 1997-December 31, 1997	

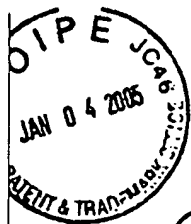
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Examiner Signature	<i>F. Poinvil</i>	Date Considered	10/12/06
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Birch, Stewart, Kolasch & Birch, LLP

MRC/jm



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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	08/999,245		
		Filing Date	12/06/1997		
		First Named Inventor	Jeff S. Eder		
		Art Unit	3628		
		Examiner Name	Hyung Souh		
Sheet	9	of	9	Attorney Docket Number	VM - 03

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Examiner Signature	F Poinvil	Date Considered	10/10/06
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